

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/056,289 04/07/98 SHUTT

J 98B017/2

EXXON CHEMICAL COMPANY
P O BOX 2149
BAYTOWN TX 77522

0242/0508

NOT ASSIGNED

1755

DATE MAILED:

05/08/98

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 130.

☐ 1. The statutory basic filing fee is:

- ☐ missing.
☒ insufficient.

Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ _____, including any multiple dependent claim fees, are required.

\$ _____ for _____ independent claims over 3.

\$ _____ for _____ dependent claims over 20.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

☒ is missing or unexecuted.

☐ does not cover the newly submitted items.

☐ does not identify the application to which it applies.

☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825"

☐ 9. OTHER

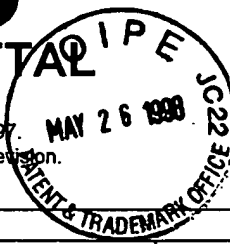
Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

FEE TRANSMITTAL

Note: Effective October 1, 1997.
Patent fees are subject to annual revision.



Complete if Known

Application Number	09/056,289
Filing Date	April 7, 1998
First Named Inventor	John R. Shutt
Group Art Unit	1755
Examiner Name	Not Assigned
Attorney Docket Number	98B017/2

Total Amount of Payment (\$)**195.00**

METHOD OF PAYMENT (check one)

FEE CALCULATION (continued)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payment to:

Deposit Account Number: **05-1715**

Deposit Account Name: **Exxon Chemical Patents Inc.**

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance

FEE CALCULATION

1. FILING FEE

Large Entity Fee Code	Fee Description	Fee Paid
101 790	Utility filing fee	
106 330	Design filing fee	
107 540	Plant filing fee	
108 790	Reissue filing fee	
114 150	Provisional filing fee	

SUBTOTAL (1)

2. CLAIMS

Extra Fee from below Fee Paid

Total Claims - 20 = x **22.00** =

Independent Claims - 3 = x **82.00** =

Multiple Dependent Claims x **270.00** =

Large Entity Fee Code	Fee Description	Fee Paid
103 22	Claims in excess of 20	
102 82	Independent claims in excess of 3	
104 270	Multiple dependent claim	

SUBTOTAL (2)

3. ADDITIONAL FEES

Fee Code	Large Entity Fee \$	Fee Description	FEES PAID
105 130		Surcharge - late filing fee or oath	130.00
127 50		Surcharge - late provisional filing or cover sheet.	
139 130		Non-English specification	
147 2,520		For filing a request for reexamination	
112 920*		Requesting publication of SIR prior to Examiner action	
113 1,840*		Requesting publication of SIR after Examiner action	
115 110		Extension for reply within first month	
116 400		Extension for reply within second month	
117 950		Extension for reply within third month	
118 1,510		Extension for reply within fourth month	
128 2,060		Extension for reply within fifth month	
119 310		Notice of Appeal	
120 310		Filing a brief in support of an appeal	
121 270		Request for oral hearing	
138 1,510		Petition to institute a public use proceeding	
140 110		Petition to revive - unavoidable	
141 1,320		Petition to review - unintentional	
142 1,320		Utility issue fee (or reissue)	
143 450		Design issue fee	
144 670		Plant issue fee	
122 130		Petition to the Commissioner	
123 50		Petitions related to provisional applications	
126 240		Submission of information Disclosure Stmt.	
581 40		Recording each patent assignment per property (times number of properties)	40.00
146 790		Filing a submission after final rejection (37 CFR 1.129(a))	
149 790		For each additional invention to be examiner (37 CFR 1.129(b))	
Other fee (specify) <u>Request For Corrected Filing Receipt</u>			25.00
* Reduced by Basic Filing Fee Paid			
SUBTOTAL (3)			\$ 195.00

05/28/1998 ASSELMAN 00000000 051715 09056289
01 TC:105 130.00 CH
02 TC:076 22 25.00 CH
Reissue claims in excess of 20 and over original patent

Typed or Printed Name **Brent M Peebles**

Reg. No. **38,576**

Signature

BMP

Date **May 22, 1998**

Deposit Account User ID

ID	TPE	NAME OR ACCOUNT	C-NBR	MLEDTE	CURDTE	F-C	\$ AMOUNT
DA	1	051715	00000067	040798	041098	101	790.00
DA	1	051715	00000068	040798	041098	103	1,980.00
DA	1	051715	00000069	040798	041098	102	902.00
DA	1	051715	00000038	052698	052898	105	130.00
DA	1	051715	00000039	052698	052898	576	25.00
DA	1	051715	00000040	052698	052898	581	40.00

0006/0006 DISPLAYED



"PATENT"

UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Shutt, John R., et al)
Serial No.: 09/056,289)
Filed: April 7, 1998)
For: Polymerization Process) Attorney Docket No. 98B017/2)

#3

THE COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

Sir:

- [X] The undersigned hereby certifies having information and a reasonable basis for belief that this correspondence will be deposited as first-class mail with the United States Postal Service in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231, on May 22 1998.

REQUEST FOR CORRECTED FILING RECEIPT

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.
2. There is an error in that the following data is:

[] incorrectly entered

and/or

[X] omitted

[X] Applicant's Name

[X] Applicant's Address

[] Title

[] Filing Date

[] Serial Number

[] Foreign/PCT Application Reference

[] Other: Attorney Docket No.

in that the filing receipt should read as follows:

APPLICANT: JOHN R. SHUTT, HOUSTON, TEXAS; KEVIN R. SQUIRE, KINGWOOD, TEXAS; ABDULGAFFAR VADGAMA, HOUSTON, TEXAS

3. *(complete the following applicable item A or B)*

A. ☐ The correction is not due to any error by applicant and no fee is due

OR

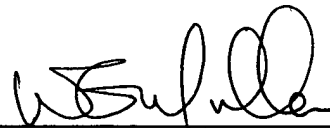
B. ☒ The correction is due to applicant's error and the fee therefor under 37 CFR 1.19(i) of \$25.00 is paid as follows:

☐ enclosed is check for \$15.00

☒ charge Deposit Account No. 05-1715 \$25.00.

May 22, 1998

Date of Signature



Attorney or Agent

William G. Muller
Registration No. 32,281
Telephone No. (281) 834-2671
Facsimile No. (281) 834-2495

Post Office Address (to which correspondence is to be sent):

Exxon Chemical Company
Law Technology
P. O. Box 2149
Baytown, Texas 77522-2149

FILING RECEIPT



507
UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/056,289	04/07/98	1755	\$3,672.00	98B017/2	0	110	14

EXXON CHEMICAL COMPANY
P O BOX 2149
BAYTOWN TX 77522

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

JOHN R. SHUTT.

FOREIGN FILING LICENSE GRANTED 05/05/98

TITLE

POLYMERIZATION PROCESS

PRELIMINARY CLASS: 502

